

REPORT TO: Audit & Governance 1 December 2021

LEAD CABINET MEMBER: Councillor John Williams,
Lead Cabinet Member for Finance

LEAD OFFICER: Peter Maddock, Head of Finance / Anne Ainsworth, Chief
Operating Officer

NOVEMBER 2021 RISK REGISTER

Executive Summary

1. This report outlines the current processes for managing risk for the Local Authority and proposals to further improve risk management across the Council.

Key Decision

2. This is not a key decision as there are no resource implications directly arising from the report at this stage.

Recommendation

3. **That Committee is invited to review the Strategic Risk Register for the Council as of November 2021 and consider whether Committee would like to see the Strategic Risk Register on a twice-yearly basis.**

Reason for Recommendation

4. To review the Council's current Strategic Risk Register and Risk Management processes.

Details

5. The Council operates a Risk Management System called 4Risk. This is an electronic platform that allows the Strategic Risk Register to be updated by each Risk Owner directly within the system.
6. Currently risks are inputted and managed depending on whether they are a Strategic Risk or a Service Risk. There is also the option to more fully explore individual project risks within the system that cut across services. It is intended that this will be developed in the new year.
7. Risk holders are prompted to update their Risks quarterly. However, we need to further embed the management of risk across the organisation, so that risks which may be dynamic (risks related to the economy or national policy for example) are updated as required. This will be done by including a regular item on risk as part of the regular Council Management Team meetings, and monthly updates to Leadership Team.

8. The 4Risk system will produce reports depending on whichever parameters are inputted. The Risk Register attached to this report, is a document produced by the system when looking at high level strategic risks.
9. In line with good practice, we would suggest that Audit Committee review the Strategic Risk register for the Council twice annually.

Implications

10. In the writing of this report, taking into account the financial, legal, staffing, risk management, equality and diversity, climate change, community safety and any other key issues, the following implications have been considered:

Legal

11. There are no legal implications arising from the report.

Policy

12. It is Council policy to review all risks on a regular basis and use the 4Risk system to report risks and mitigations as appropriate. It is suggested that Audit Committee consider reviewing the Strategic Risk Register twice annually.

Finance

13. There are no new resource implications associated with the attached Risk Report. Some of the Risks outlined in Appendix A would have financial implications if the risk is not mitigated as outlined.

Risks

14. The report includes as an Appendix, the Strategic Risk Register as at November 2021.

Environmental

15. There are no specific environmental implications associated with this report.

Equality and Diversity

16. In preparing this report, due consideration has been given to the Council's statutory Equality Duty to eliminate unlawful discrimination, advance equality of opportunity and foster good relations, as set out in Section 149(1) of the Equality Act 2010.
17. Action to manage risk is in line with South Cambridgeshire District Council's statutory equality duty to eliminate unlawful discrimination, advance equality of opportunity and foster good relation.

Effect on Council Priority Areas

18. Timely and robust consideration of the management and identification of risk is vital to the effective delivery of the Council's Business Plan.

Appendices

A SCDC Strategic Risk Report November 2021

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